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123003  
U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	110578-135408
First Inventor	Mader et al.
Title	COMMUNICATIONS ADAPTER MODULE
Express Mail Label No.	ER084883838US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450																											
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>26</u>] (preferred arrangement set forth below)            - Descriptive title of the invention            - Cross Reference to Related Applications            - Statement Regarding Fed sponsored R &amp; D            - Reference to sequence listing, a table, or a computer program listing appendix            - Background of the Invention            - Brief Summary of the Invention            - Brief Description of the Drawings (if filed)            - Detailed Description            - Claim(s)            - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (37 U.S.C. 113) [Total Sheets <u>9</u>]</p> <p>5. Oath or Declaration [Total Sheets <u>5</u>]            a. <input checked="" type="checkbox"/> Newly executed (original or copy)              b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))            (for continuation/divisional with Box 18 completed)              i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>																													
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)            a. <input type="checkbox"/> Computer Readable Form (CRF)              b. <input type="checkbox"/> Specification Sequence Listing on:            i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or            ii. <input type="checkbox"/> Paper              c. <input type="checkbox"/> Statements verifying identity of above copies</p>																													
<p><b>ACCOMPANYING APPLICATION PARTS</b></p> <table> <tr><td>9. <input checked="" type="checkbox"/></td><td>Assignment Papers (cover sheet &amp; document(s))</td><td><input type="checkbox"/> Power of Attorney</td></tr> <tr><td>10. <input type="checkbox"/></td><td>37 CFR 3.73(b) Statement</td><td><input checked="" type="checkbox"/> (when there is an assignee)</td></tr> <tr><td>11. <input type="checkbox"/></td><td>English Translation Document (if applicable)</td><td><input type="checkbox"/> Copies of IDS Citations</td></tr> <tr><td>12. <input type="checkbox"/></td><td>Information Disclosure Statement (IDS)/PTO-1449</td><td></td></tr> <tr><td>13. <input type="checkbox"/></td><td>Preliminary Amendment</td><td></td></tr> <tr><td>14. <input checked="" type="checkbox"/></td><td>Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</td><td></td></tr> <tr><td>15. <input type="checkbox"/></td><td>Certified Copy of Priority Document(s) (if foreign priority is claimed)</td><td></td></tr> <tr><td>16. <input type="checkbox"/></td><td>Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</td><td></td></tr> <tr><td>17. <input checked="" type="checkbox"/></td><td>Other: Express Mail Certificate.....</td><td></td></tr> </table>			9. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))	<input type="checkbox"/> Power of Attorney	10. <input type="checkbox"/>	37 CFR 3.73(b) Statement	<input checked="" type="checkbox"/> (when there is an assignee)	11. <input type="checkbox"/>	English Translation Document (if applicable)	<input type="checkbox"/> Copies of IDS Citations	12. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449		13. <input type="checkbox"/>	Preliminary Amendment		14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		15. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)		16. <input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		17. <input checked="" type="checkbox"/>	Other: Express Mail Certificate.....	
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17. <input checked="" type="checkbox"/>	Other: Express Mail Certificate.....																												

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: .....

Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_  
 For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number:	25943	<b>OR</b>	<input type="checkbox"/> Correspondence address below
Name			
Address			
City		State	Zip Code
Country		Telephone	Fax
Name (Print/Type)	Edmund H. Mizumoto	Registration No. (Attorney/Agent)	46,938
Signature	Date December 30, 2003		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810)

## Complete if Known

Application Number	Not yet assigned
Filing Date	12/30/03
First Named Inventor	Mader et al.
Examiner Name	Not yet assigned
Art Unit	
Attorney Docket No.	110578-135408

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number  
500393

Deposit Account Name  
Schwabe Williamson et al.

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) or any underpayment of fee(s)

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

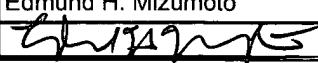
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Edmund H. Mizumoto	Registration No. (Attorney/Agent)	46,938	Telephone	503.222.9981
Signature				Date	12/30/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for: Mader et al.

Serial No.: Not yet assigned

Filed: 12/30/03

For: COMMUNICATIONS ADAPTER  
MODULE

**EXPRESS MAIL CERTIFICATE**

Application (26 pages)  
Assignment and Cover Sheet (3 pages)  
Check No. 77916 Amount \$810  
Declaration/POA (5 pages)  
Informal Drawings ( 9 sheets)  
Express Mail Label No. ER084883838US  
Fee Transmittal (1 page – in duplicate)  
Application Cover Sheet (1 page)  
Return Receipt Postcard  
Transmittal Letter (1 page)

I hereby certify that on December 30, 2003, the above-referenced documents are being deposited with the U.S. Postal Service, in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number ER084883838US, addressed to:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated: 12/30/03

  
\_\_\_\_\_  
Christine A. Hurdle

Schwabe, Williamson, & Wyatt, P.C.  
1420 Fifth Ave., #3010  
Seattle, WA 98101-2393